NATIONAL TREASURY (NT)

MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)

Note - Must be faxed to - 012 - 315 5230/ 086 650 5417 & emailed to fmg@treasury.gov.za. The municipality is required to confirm receipt by calling 012 315 5201/5208

Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.

Name of Municipality	WC023 Breede Valley
Financial Year	2020/21
Month	M10 April

Section A: Previous Financial Year			
Financial Management Grant Received and Expenditure Incurred	2019/20	Rand	Comment
Total FMG received		1 550 000.00	
Total FMG Expenditure		1 550 000.00	
FMG unspent		0.00	Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.
FMG unspent and returned to the National Revenue Fund			Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share
Total FMG unspent as at end of financial year		0.00	Note - This should be funds that are approved by NT as rollover

Section B: Current Financial Year	2020/21		
Financial Management Grant Received and Expenditure Incurred		Rand	Comment
Total FMG received for current financial year		1 550 000.00	
Total unspent FMG approved for rollover (Refer to Section A: A15)		0.00	
Total FMG received		1 550 000.00	
Total spend year-to-date (See last months return - Section B: B31)		927 027.69	Please note for July's return, this amount would be 0.

Total spending this month		Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent		Comment
	20 333.36		20 333.36				
- Interns Stipend/Salary and Training	20 333.36	266838.65	287171.00	500000.00	109 828.01		
- Training in support of Minimum Competency Regulations			0.00		0.00		
- Towards strengthening capacity in Budget and Treasury Office (BTO) Internal audit and audit committee			0.00	185 000.00	185 000.00		
- Acquisition, Upgrading and Maintenance of Financial Systems and Mscoa		570 199.00	570 199.00	585000.00	14 810.94		
- Preparation and timely submission of Annual Financial Statements for audits			0.00	300 000.00	300 000.00		
- Support implementation of corrective actions to address audit findings			0.00		0.00		
- Preparation and Implementation of Financial Recovery Plans			0.00		0.00		
- Assess shortcomings identified in the FMCMM Assessment report			0.00		0.00		
- Support the implementation of the financial misconduct regulations and promote consequence management			0.00		0.00		
- To strengthen financial governance and oversight as well as functionis of MPAC			0.00		0.00		
Total FMG spent	892 361.05	837227.65	992361.05	1550000.00	557638.95		
Percentage spent	61.06						
Total FMG unspent for current financial year	557 638.95	Note - AO/MM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund					

Section C: (Current Financial Year)

The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 7th April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days

Performance Information: Institutional	Yes/No	Number	CFO Acting Yes/ No	Name of CFO	MM Acting (Yes/No)	Name of MM
Appointment of appropriately skilled CFO consistent with the competency regulations	Yes		No	R. Ontong	No	D. McThomas
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes					
Appointment of appropriately skilled Internal Audit personnel	Yes					
Appointment of appropriately skilled SCM personnel	Yes					
Number of interns appointed		4				

Section D: (Current Financial Year)	Audit Outcome	Audit Outcome							
Performance Information: Audit Outcomes	2018/19	2019/20	Audit Action Plan in place (Yes/ No)	Audit Action Plan implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	
Audit Outcome achieved	Unqualified with no findings	Unqualified with findings	Please report on the previous year audit action plan until the audit action plan for the new year is developed						There are still 0 questions you have not answered in this section!
Audit Action Plan			Yes	Yes	21	18	3	The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2020)	There are still 0 questions you have not answered in this section!

Performance Information: Financial Management Capability Maturity Module (FMCMM)	Development of an action plan to address the shortcomings identified in FMCMM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of items on the FMCMM and ratio Action plan	Number of items completed on the FMCMM and ratio Action Plan	Number of items outstanding on the FMCMM and ratio action plan	Planned completion date	
Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report *The FMCMM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereof*	Yes	The municipality assessed all the focus areas and compiled action plans for all the focus areas with "partial" of "no" answers in place	21	18	3	The completion dates vary per focus area and question. Most of the findings will be addressed	There are still 0 questions you have not answered in this section!

Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced Co- Sourced Inhouse	No of Resolutions and recommendations	Number implemented	Number Outstanding	
Internal Audit Unit Established	Yes	Inhouse			There are still 2 questions you have not answered in this section!	
Audit Committee Established	Yes	Inhouse			There are still 2 questions you have not answered in this section!	
Resolutions and recommendations of IA			364	318	46	There are still 2 questions you have not answered in this section!
Resolutions and recommendations of AC			8	0	8	There are still 0 questions you have not answered in this section!

Performance Information: Disciplinary boards	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)	
Is the disciplinary board established and functional	No	No	N/A		There are still 2 questions you have not answered in this section!

Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegatee

Name of the Chief Financial Officer - R. Ontong Signature - Date - 10 May 2021

Name of the Accounting Officer - D. McThomas Signature - Date - 10 May 2021