

NATIONAL TREASURY INT  
MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)

Note - Must be filed by 31st-31st 02:00 PM EST. It is required to upload the report to the system by 11:59 PM EST.

Note - Fields highlighted in yellow should be completed. Other fields are auto-filled and need not be completed. The Municipality is required to provide comments and supporting documentation where necessary.

Municipality: **2023222**  
 Financial Year: **2019/20**  
 Month: **SEPTEMBER**

Section A: Previous Financial Year		2018/19	Revd	Comment
<b>Financial Management Grant Received and Expenditure Incurred</b>				
Total FMG received				
Total FMG expenditure				
FMG amount returned to the National Revenue Fund	0.00			Note - If funds surrendered, follow process for rollover of funds. Please note that this should not be a negative amount.
Total FMG amount returned to the National Revenue Fund	0.00			Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off available share.
<b>Section B: Current Financial Year</b>				
<b>Financial Management Grant Received and Expenditure Incurred</b>				
Total FMG received for current financial year	1 500 000.00			
Total unspent FMG expenditure for rollover (Refer to Section A: A15)	0.00			
Total FMG received	1 500 000.00			
Total spent year-to-date (See last month's return - Section B: B31)	0.00			Please note for July's return, this amount would be 0
Total spending this month	0.00	Aggregate spending from previous months	Total spending to date	Allocation as per current plan
- Salaries, Benefits, and Travel		0.00	100 000.00	100 000.00
- Training in support of Minimum Competence Regulation		0.00	100 000.00	100 000.00
- Technical strengthening capacity in budget and treasury (NYC) Internal audit and audit committee		0.00	200 000.00	200 000.00
- Acquisition, Upgrade and Maintenance of Financial Systems and Module		0.00	500 000.00	500 000.00
- Preparation and review submission of Annual Financial Statements for audit		0.00	250 000.00	250 000.00
- Support implementation of corrective actions to address audit findings		0.00	0.00	0.00
- Preparation and implementation of Financial Recovery Plan		0.00	0.00	0.00
- Address shortfalls identified in the FMCMM assessment report		0.00	0.00	0.00
- Support implementation of the financial responsibility regulation and annual compliance requirements		0.00	0.00	0.00
- To strengthen financial governance and oversight as well as functions of MPAC		0.00	0.00	0.00
Total FMG spent	0.00	0.00	0.00	1 500 000.00
Percentage spent	0.00			100.00%
Total FMG unspent for current financial year	1 500 000.00			Note - AGMM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund

**Section C: Current Financial Year**

The municipality is required to comply and submit the FMG Report Plan to the National Treasury by 29 April, prior to the commencement of the new financial year and any amendments thereto, within 30 days.

Performance Information: Institutional	Yes/No	Number	Yes/No	Name of CFO	MM Active (Yes/No)	Name of MM
Appointment of appropriately skilled CFO personnel with the appropriate qualifications	Yes	1	No	R. Othman	Yes	D. McThomas
Appointment of appropriately skilled Senior Financial Managers in the CFO	Yes					
Appointment of appropriately skilled internal Audit personnel	Yes					
Appointment of appropriately skilled SCM personnel	Yes					
Number of interns assigned		5				

Section D: Current Financial Year		Audit Outcome	Audit Outcome	Audit Action Plan In Place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date
<b>Performance Information: Audit Outcomes</b>									
Audit Outcome achieved	2017/18	2018/19							
	Unqualified with no findings	Unqualified with no findings							Please report on the previous year's audit action plan until the audit action plan for the new year is developed.
<b>Audit Action Plan</b>									
	Yes	Yes	1	0	1				The completion dates vary per focus area and question. Most of the findings will be addressed.
<b>Performance Information: Financial Management Capability Maturity Module (FMCMM)</b>									
Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report?	Yes	The municipality assessed all the focus areas and completed action plans for all the focus areas with "partial" or "no" answers in place			1	0	1		The completion dates vary per focus area and question. Most of the findings will be addressed.

**Performance Information: Internal Audit Units (IA) and Audit Committees (AC)**

Internal Audit Unit Established	Yes/No	Outsourced Co-Sourced In-house	No of Resolutions and recommendations	Number Implemented	Number Outstanding
Internal Audit Unit Established	Yes	In-house			
Audit Committee Established	Yes	In-house	278	271	7
Resolutions and recommendations of IA			9	9	0
Resolutions and recommendations of AC					

**Performance Information: Disciplinary boards**

Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (attach copies of the resolutions)
Is the disciplinary board established and functional	Yes	1	

**Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegate**

Name of the Chief Financial Officer - R. Othman  
 Signature -   
 Date - 8 October 2019

Name of the Accounting Officer - D. McThomas  
 Signature -   
 Date - 8 October 2019