

NATIONAL TREASURY INT1

MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)

Note - Must be filed to: 017 - 210 0200 000 0417 & returned to: treas@treasury.gov.au. The municipality is required to complete sections 1 to 10 & 15 to 19 only.

Note - Fields highlighted in yellow should be completed. Other fields are auto-populated and reviewed for comments. The Municipality is required to provide comments and supporting documentation where necessary.

Name of Municipality: **WOCOS Breads Valley**  
 Financial Year: **2021/22**  
 Month: **19th April**

<b>Section A: Previous Financial Year</b>				
Financial Management Grant Received and Expenditure Incurred	2020/21	Recd		Comment
Total FMG received				
Total FMG Expenditure				
FMG unspent	0.00			Note - If funds committed, please assess for rollover of funds. Please note that this should not be a negative amount.
FMG unspent and returned to the National Revenue Fund				Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off available share.
Total FMG unspent at end of financial year	0.00			Note - This should be funds that are approved by NT as rollover.

<b>Section B: Current Financial Year</b>				
Financial Management Grant Received and Expenditure Incurred	2021/22	Recd		Comment
Total FMG received for current financial year	1 862 000.00			
Total unspent FMG approved for rollover (Refer to Section A: A16)	0.00			
Total FMG received	1 862 000.00			
Total spent year-to-date (See that month return - Section B: A21)	602 384.75			Please note for July's return, this amount would be 0.

Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment
16 651.30	20 477.48	37 128.78	80000.00	178 361.16	
- Internals Management and Finance		37 128.78		8.30	
- Training in support of Minimum Compliance Obligations		0.00		178 200.00	
- Local Government Capacity in Budget and Treasury Office (LGC) internal audit and audit committee		0.00	175000.00	54 322.73	
- Assurance, Licencing and Maintenance of Financial Systems and Manual	520877.27	520877.27	87000.00	201 066.00	
- Promotion and timely submission of Annual Financial Statements for audit		0.00	30000.00	0.00	
- Support implementation of corrective actions to address audit findings		0.00		0.00	
- Support the training of Municipal officers that are members of the LGC committee		0.00		0.00	
- Address shortcomings identified in the FMCM Assessment report		0.00		0.00	
- Support the implementation of the financial management regulations and promote compliance management		0.00		0.00	
- To strengthen financial governance and oversight as well as functions of MPAG		0.00		0.00	
Total FMG spent	542 324.11	623 242.73	84728.11	100000.00	107873.89
Performance Grant	54.34				
Total FMG unspent for current financial year	787 873.89				Note - ADMIN must return any unspent FMG allocations not approved for rollover, to the National Revenue Fund

<b>Section C: Current Financial Year</b>								
The municipality is required to compile and submit the FMG Support Plan to the National Treasury in April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.								
<b>Performance Information: Budgetal</b>	Yes/No	Number	CFO Filing Year No	Name of CFO	MM Rating (1-5)	MM Rating (1-5) (N/A)	Name of MM	
Appointment of appropriately skilled CFO (consistent with the municipality's regulations)	Yes	1	16	R. Collins	4	4	D. Matthews	
Appointment of appropriately skilled Senior Financial Manager in the CFO	Yes	1						
Appointment of appropriately skilled Internal Audit Manager	Yes	1						
Appointment of appropriately skilled OCM assessment	Yes	1						
Number of entries approved		1						

<b>Section D: Current Financial Year</b>		Audit Outcome	Audit Outcome	Audit Action Plan in place (Y/N)	Audit Action Plan Implemented (Y/N)	Total number of items on Audit Action Plan	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	
<b>Performance Information: Audit Outcomes</b>		2019/20	2020/21							
Audit Outcomes achieved		Unqualified with no findings	Unqualified with no findings							There are still 0 questions you have not answered in this section!
Audit Action Plan				Yes	Yes	10	4	6		The completion date vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)

<b>Performance Information: Financial Management Capability Maturity Models (FMCM)</b>		Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report		Total number of items on the FMCM and ratio Action Plan	Number of items completed on the FMCM and ratio Action Plan	Number of items outstanding on the FMCM and ratio action plan	Planned completion date	
Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report		No	The completion date vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)	10	4	6		The completion date vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)

<b>Performance Information: Internal Audit Units (IAU) and Audit Committees (AC)</b>		Yes/No	Outsourced Co-Sourced Inhouse	No. of Resolutions and recommendations	Number Implemented	Number Outstanding	
Internal Audit Unit Established		Yes	Inhouse				There are still 0 questions you have not answered in this section!
Audit Committee Established		Yes	Inhouse				There are still 0 questions you have not answered in this section!
Resolutions and Recommendations of IA				472	382	90	There are still 0 questions you have not answered in this section!
Resolutions and Recommendations of AC				2	2	0	There are still 0 questions you have not answered in this section!

<b>Performance Information: Disciplinary boards</b>		Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (and copies of the resolutions)	
Is the disciplinary board established and functional		Yes	Yes	N/A		There are still 0 questions you have not answered in this section!

Confirmation & Authorisation from the Accounting Officer & Chief Financial Officer or Delegate

Name of the Chief Financial Officer - R. Collins  
 Signature:   
 Date - 10 May 2022

Name of the Accounting Officer - D. Matthews  
 Signature:   
 Date - 10 May 2022