

NATIONAL TREASURY (NT)

MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)

Note - Must be faxed to - 017-315 5230/ 690 650 5417 & emailed to fmgr@treasury.gov.za. The municipality is required to confirm receipt in writing to 012 315 5201/5308.  
 Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.  
 Name of Municipality: WC023 Eerste Valley  
 Financial Year: 2019/20  
 Month: M07 January

Section A: Previous Financial Year		2018/19	Rand	Comment
Financial Management Grant Received and Expenditure Incurred				
Total FMG received				
Total FMG Expenditure				
FMG unspent		0.00		Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.
FMG unspent and returned to the National Revenue Fund				Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off #suitable share
Total FMG unspent as at end of financial year		0.00		Note - This should be funds that are approved by NT as rollover

Section B: Current Financial Year		2019/20	Rand	Comment
Financial Management Grant Received and Expenditure Incurred				
Total FMG received for current financial year			1 560 000.00	
Total unspent FMG approved for rollover (Refer to Section A: A15)			0.00	
Total FMG received			1 560 000.00	
Total spent year-to-date (See last months return - Section B: A31)			1 049 811.28	Please note for July's return, this amount would be 0.

Total spending this month	Aggregate spending from previous months	Total pending to date	Allocation as per support plan	Allocation Unspent	Comment
121 256.75					
- Interns Salaries/Grants and Training	41 800.00	66 700.00	140 000.00	600 000.00	350 633.30
- Training in support of Minimum Competence Regulations	80 580.00	11,42	60 000.00	100 000.00	10 388.06
- Towards strengthening capacity in Budget and Treasury Office (BTO), Internal Audit and Audit Committee	0.00	200 000.00	200 000.00	200 000.00	0.00
- Acquisition, Upgrading and Maintenance of Financial Systems and MISC	0.00	500 000.00	500 000.00	500 000.00	0.00
- Preparation and timely submission of Annual Financial Statements for audits	0.00	250 000.00	250 000.00	250 000.00	0.00
- Support implementation of corrective actions to address audit findings			0.00		0.00
- Preparation and Implementation of Financial Recovery Plans			0.00		0.00
- Address shortcomings identified in the FMCM Assessment report			0.00		0.00
- Support the implementation of the financial risk control regulation and promote compliance management			0.00		0.00
- To strengthen financial governance and oversight as well as functional of MPAC			0.00		0.00
Total FMG spent	1 180 087.03	1 049 811.28	1 180 087.03	1 650 000.00	369 952.37
Percentage spent		76.13			
Total FMG unspent for current financial year		389 832.27			Note - AO/MM must return any unspent FMG allocations not approved for rollover, to the National Revenue Fund

Section C: (Current Financial Year)						
The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 7th April prior to the commencement of the new financial year and any amendments thereafter, within 30 days						
Performance Information: Institutional	Yes/No	Number	CFO Acting Yes/No	Name of CFO	MM Acting (Yes/No)	Name of MM
Appointment of appropriately skilled CFO consistent with the competence regulations	Yes		No	R. Oortman	No	D. McThomas
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes					
Appointment of appropriately skilled Internal Audit personnel	Yes					
Appointment of appropriately skilled SCM personnel	Yes					
Number of interns appointed		5				

Section D: (Current Financial Year)								
Performance Information: Audit Outcomes	Audit Outcome 2017/18	Audit Outcome 2018/19	Audit Action Plan in place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of Items on Audit Action	Number of Items completed on the Audit Action Plan	Number of Items outstanding on the audit action plan	Planned completion date
Audit Outcomes achieved	Unqualified with no findings	Unqualified with no findings						There are still 0 questions you have not answered in this section!
Audit Action Plan			Yes	Yes	21	0	21	The completion dates vary per focus area and question. Most of the findings will be addressed. There are still 0 questions you have not answered in this section!

Performance Information: Financial Management Capability Maturity Module (FMCMM)									
Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report	Yes/No	Outsourced Co-Sourced Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding	Total number of Items on the FMCMM and ratio Action plan	Number of Items completed on the FMCMM and ratio Action Plan	Number of Items outstanding on the FMCMM and ratio action plan	Planned completion date
The FMCMM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereof	Yes	Inhouse		24	0	21	0	21	The completion dates vary per focus area and question. Most of the findings will be addressed. There are still 0 questions you have not answered in this section!

Performance Information: Internal Audit Units (IA) and Audit Committees (AC)						
Internal Audit Unit Established	Yes/No	Inhouse	Outsourced	No of Resolutions and recommendations	Number Implemented	Number Outstanding
Audit Committee Established	Yes	Inhouse			24	0
Resolutions and recommendations of IA				20	24	0
Resolutions and recommendations of AC				0	0	0

Performance Information: Disciplinary boards				
Is the disciplinary board established and functional	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolution)
	No	No	n/a	

Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegates

Name of the Chief Financial Officer - R. Oortman  
 Signature:   
 Date - 10 February 2020

Name of the Accounting Officer - D. McThomas  
 Signature:   
 Date - 10 February 2020