

| NATIONAL TREASURY (NT)   |  |   |   |  |  |   |  |  |  |
|--|--|---|---|--|--|---|--|--|--|
| MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)   |  |   |   |  |  |   |  |  |  |
| Note: Must be filed to: 017-315 8293 (888 809 5417 & emailed to: fm@treasury.gov.za. The municipality is required to confirm receipt by calling 017-315 5011/5338.<br>Note: Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary. |  |   |   |  |  |   |  |  |  |
| Name of Municipality   | WC025 Breede Valley  |   |   |  |  |   |  |  |  |
| Financial Year   | 2021/22  |   |   |  |  |   |  |  |  |
| Month  | M07 January  |   |   |  |  |   |  |  |  |
| <b>Section A: Previous Financial Year</b>  |  |   |   |  |  |   |  |  |  |
| Financial Management Grant Received and Expenditure Incurred   | 2020/21  | Rand  | Comment   |  |  |   |  |  |  |
| Total FMG received   |  |   |   |  |  |   |  |  |  |
| Total FMG Expenditure  |  |   |   |  |  |   |  |  |  |
| FMG Unspent  |  | 0.00  | Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount. |  |  |   |  |  |  |
| FMG unspent and returned to the National Revenue Fund  |  |   | Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share         |  |  |   |  |  |  |
| Total FMG unspent as at end of financial year  |  | 0.00  | Note - This should be funds that are approved by NT as rollover   |  |  |   |  |  |  |
| <b>Section B: Current Financial Year</b>   |  |   |   |  |  |   |  |  |  |
| Financial Management Grant Received and Expenditure Incurred   | 2021/22  | Rand  | Comment   |  |  |   |  |  |  |
| Total FMG received for current financial year  |  | 1 550 000.00  |   |  |  |   |  |  |  |
| Total unspent FMG approved for rollover (Refer to Section A: A15)  |  | 0.00  |   |  |  |   |  |  |  |
| Total FMG received   |  | 1 550 000.00  |   |  |  |   |  |  |  |
| Total spent year-to-date (See last months return - Section B: A31)   |  | 722 606.65  | Please note for July's return, this amount would be 0.  |  |  |   |  |  |  |
| Total spending this month  |  | 33 666.72   | Aggregate spending from previous months   | Total spending to date   | Allocation as per support plan                                 | Allocation Unspent  | Comment  |  |  |
| - Interest Support/Salary and Training   |  | 33 666.72   | 301529.38   | 235586.10  | 500000.00  | 264 403.90  |  |  |  |
| - Training in support of Minimum Competency Regulations  |  |   |   | 0.00   |  | 0.00  |  |  |  |
| - Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee   |  |   |   | 0.00   | 175000.00  | 175 000.00  |  |  |  |
| - Acquisition, Upgrading and Maintenance of Financial Systems and Moscos   |  |   | 520677.27   | 520677.27  | 575000.00  | 54 322.73   |  |  |  |
| - Preparation and timely submission of Annual Financial Statements for audits  |  |   |   | 0.00   | 300000.00  | 300 000.00  |  |  |  |
| - Support implementation of corrective actions to address audit findings   |  |   |   | 0.00   |  | 0.00  |  |  |  |
| - Support the training of Municipal officials that are members of the BID committee  |  |   |   | 0.00   |  | 0.00  |  |  |  |
| - Address shortcomings identified in the FMCCM Assessment report   |  |   |   | 0.00   |  | 0.00  |  |  |  |
| - Support the implementation of the financial misconduct regulation and promote consequence management   |  |   |   | 0.00   |  | 0.00  |  |  |  |
| - To strengthen financial governance and oversight as well as functioning of MPAC  |  |   |   | 0.00   |  | 0.00  |  |  |  |
| Total FMG spent  |  | 756 273.37  | 722606.65   | 756273.37  | 1550000.00   | 793726.63   |  |  |  |
| Percentage spent   |  | 48.79   |   |  |  |   |  |  |  |
| Total FMG unspent for current financial year   |  | 793 726.63  | Note - AD/MM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund           |  |  |   |  |  |  |
| <b>Section C: (Current Financial Year)</b>   |  |   |   |  |  |   |  |  |  |
| The municipality is required to compile and submit the FMG Support Plan to the National Treasury in April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.  |  |   |   |  |  |   |  |  |  |
| Performance Information: Institutional   | Yes/No   | Number  | CFO Acting  | Yes/ No  | Name of CFO  | MM Acting (Yes/No)  | Name of MM   |  |  |
| Appointment of appropriately skilled CFO consistent with the competency regulations  | Yes  |   | No  |  | R. Ontong  | No  | D. Methomas  |  |  |
| Appointment of appropriately skilled Senior Financial Managers in the BTO  | Yes  |   |   |  |  |   |  |  |  |
| Appointment of appropriately skilled Internal Audit personnel  | Yes  |   |   |  |  |   |  |  |  |
| Appointment of appropriately skilled SCM personnel   | Yes  |   |   |  |  |   |  |  |  |
| Number of interns appointed  |  | 4   |   |  |  |   |  |  |  |
| <b>Section D: (Current Financial Year)</b>   |  |   |   |  |  |   |  |  |  |
| Performance Information: Audit Outcomes  | Audit Outcome  | Audit Outcome   | Audit Action Plan in place (Yes/ No)  | Audit Action Plan Implemented (Yes/No)                           | Total number of items on Audit Action                          | Number of items completed on the Audit Action Plan  | Number of items outstanding on the audit action plan | Planned completion date  |  |
| Audit Outcome achieved   | 2019/20  | 2020/21   |   |  |  |   |  |  |  |
|  | Unqualified with no findings   | Unqualified with no findings  |   |  |  |   |  |  | There are still 0 questions you have not answered in this section! |
|  | Please report on the previous year audit action plan until the audit action plan for the new year is developed |   |   |  |  |   |  |  |  |
| Audit Action Plan  |  |   | Yes   | Yes  | 11   | 2   | 9  | The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022). | There are still 0 questions you have not answered in this section! |
| Performance Information: Financial Management Capability Maturity Module (FMCCM)   | Development of an action plan to address the shortcomings identified in FMCCM and ratio assessment report      | Modules and ratios that the municipality will be addressing   | Total number of items on the FMCCM and ratio Action plan  | Number of items completed on the FMCCM and ratio Action Plan     | Number of items outstanding on the FMCCM and ratio action plan | Planned completion date   |  |  |  |
| Did the municipality develop an action plan to address the shortcomings identified in the FMCCM and ratio assessment report  | No   | The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022) | 11  | 2  | 9  | The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2021) |  |  | There are still 0 questions you have not answered in this section! |
| <b>The FMCCM action plan must be submitted to NT in September and a progress report on implementation of the plan on a quarterly basis thereof</b>   |  |   |   |  |  |   |  |  |  |
| Performance Information: Internal Audit Units (IA) and Audit Committees (AC)   | Yes/No   | Outsourced Co-Sourced Inhouse   | No of Resolutions and recommendations   | Number Implemented   | Number Outstanding   |   |  |  |  |
| Internal Audit Unit Established  | Yes  | Inhouse   |   |  |  | There are still 0 questions you have not answered in this section!  |  |  |  |
| Audit Committee Established  | Yes  | Inhouse   |   |  |  | There are still 0 questions you have not answered in this section!  |  |  |  |
| Resolutions and recommendations of IA  |  |   | 340   | 279  | 61   | There are still 0 questions you have not answered in this section!  |  |  |  |
| Resolutions and recommendations of AC  |  |   | 0   | 0  | 0  | There are still 0 questions you have not answered in this section!  |  |  |  |
| Performance Information: Disciplinary boards   | Established Yes/No   | Functional Yes/No   | How many times did they meet this month   | What were the resolutions taken (Send copies of the resolutions) |  |   |  |  |  |
| Is the disciplinary board established and functional   | Yes  | Yes   | N/A   |  |  |   |  |  |  |
| <b>Confirmation &amp; Authorization from the Accounting Officer &amp; Chief Financial Officer or Delegate</b>  |  |   |   |  |  |   |  |  |  |
| Name of the Chief Financial Officer - R. Ontong  | Signature - _____  |   |   | Date - 8 February 2022   |  |   |  |  |  |
| Name of the Accounting Officer - D. Methomas   | Signature - _____  |   |   | Date - 8 February 2022   |  |   |  |  |  |