

| NATIONAL TREASURY (NT)   |  |  |  |  |  |   |  |   |  |
|--|--|--|--|--|--|---|--|---|--|
| MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)   |  |  |  |  |  |   |  |   |  |
| <p>Note - Must be filed to: 017-315 8220/088 8201 5417 &amp; emailed to: fm@treasury.gov.za. The municipality is required to confirm receipt by calling 017-315 5011/5338</p> <p>Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.</p> |  |  |  |  |  |   |  |   |  |
| Name of Municipality   |  | WC025 Breede Valley  |  |  |  |   |  |   |  |
| Financial Year   |  | 2020/21  |  |  |  |   |  |   |  |
| Month  |  | M11 May  |  |  |  |   |  |   |  |
| <b>Section A: Previous Financial Year</b>  |  |  |  |  |  |   |  |   |  |
| Financial Management Grant Received and Expenditure Incurred 2019/20   |  |  |  |  |  |   |  |   |  |
| Total FMG received   |  | Rand   |  | Comment  |  |   |  |   |  |
| Total FMG Expenditure  |  | 1 550 000.00   |  |  |  |   |  |   |  |
| FMG Unspent  |  | 0.00   |  | Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.                  |  |   |  |   |  |
| FMG unspent and returned to the National Revenue Fund  |  | 0.00   |  | Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share                          |  |   |  |   |  |
| Total FMG unspent as at end of financial year  |  | 0.00   |  | Note - This should be funds that are approved by NT as rollover  |  |   |  |   |  |
| <b>Section B: Current Financial Year</b>   |  |  |  |  |  |   |  |   |  |
| Financial Management Grant Received and Expenditure Incurred 2020/21   |  |  |  |  |  |   |  |   |  |
| Total FMG received for current financial year  |  | Rand   |  | Comment  |  |   |  |   |  |
| Total FMG approved for rollover (Refer to Section A: A15)  |  | 0.00   |  |  |  |   |  |   |  |
| Total FMG received   |  | 1 550 000.00   |  |  |  |   |  |   |  |
| Total spent year-to-date (See last month's return - Section B: A31)  |  | 1 327 959.00   |  | Please note for July's return, this amount would be 0.   |  |   |  |   |  |
| Total spending this month  |  | 90 065.41  |  | Aggregate spending from previous months  |  | Total spending to date                                  |  | Allocation as per support plan  |  |
| - Interim Support/Salary and Training  |  | 33 333.36  |  | 423505.36  |  | 456838.71   |  | 456838.00   |  |
| - Training in support of Minimum Competency Regulations  |  |  |  |  |  | 0.00  |  | 0.00  |  |
| - Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee   |  | 0.00   |  | 165000.00  |  | 165000.00   |  | 165000.00   |  |
| - Acquisition, Upgrading and Maintenance of Financial Systems and Mascoa   |  | 0.00   |  | 585000.00  |  | 585000.00   |  | 585000.00   |  |
| - Preparation and timely submission of Annual Financial Statements for audits  |  | 56 732.05  |  | 154453.66  |  | 211186.70   |  | 343161.00   |  |
| - Support implementation of corrective actions to address audit findings   |  |  |  |  |  | 0.00  |  | 0.00  |  |
| - Preparation and implementation of Financial Recovery Plans   |  |  |  |  |  | 0.00  |  | 0.00  |  |
| - Address shortcomings identified in the FMCM Assessment report  |  |  |  |  |  | 0.00  |  | 0.00  |  |
| - Support the implementation of the financial misconduct regulation and promote consequence management   |  |  |  |  |  | 0.00  |  | 0.00  |  |
| - To strengthen financial governance and oversight as well as functioning of MPAC  |  |  |  |  |  | 0.00  |  | 0.00  |  |
| Total FMG spent  |  | 1 418 024.41   |  | 1327959.00   |  | 1418024.41  |  | 1500000.00  |  |
| Percentage spent   |  | 91.49  |  |  |  |   |  |   |  |
| Total FMG unspent for current financial year   |  | 131 975.59   |  | Note - A/OMM must return any unspent FMG allocations not approved for rollover, to the National Revenue Fund                             |  |   |  |   |  |
| <b>Section C: (Current Financial Year)</b>   |  |  |  |  |  |   |  |   |  |
| The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 7th April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.  |  |  |  |  |  |   |  |   |  |
| Performance Information: Institutional   |  |  |  |  |  |   |  |   |  |
| Appointment of appropriately skilled CFO consistent with the competence regulations  |  | Yes/No   |  | Number   |  | CFO Acting  |  | Name of CFO   |  |
| Appointment of appropriately skilled Senior Financial Managers in the BTO  |  | Yes  |  | No   |  | Yes/No  |  | Name of CFO   |  |
| Appointment of appropriately skilled Internal Audit personnel  |  | Yes  |  | No   |  | Yes/No  |  | Name of CFO   |  |
| Appointment of appropriately skilled SCM personnel   |  | Yes  |  | No   |  | Yes/No  |  | Name of CFO   |  |
| Number of interns appointed  |  | 4  |  |  |  |   |  |   |  |
| <b>Section D: (Current Financial Year)</b>   |  |  |  |  |  |   |  |   |  |
| Performance Information: Audit Outcomes  |  | Audit Outcome  |  | Audit Outcome  |  | Audit Action Plan in place (Year No)                    |  | Audit Action Plan Implemented (Yes/No)  |  |
| Audit Outcome achieved   |  | Unqualified with no findings   |  | Unqualified with findings  |  | 21  |  | 18  |  |
| Audit Action Plan  |  | Please report on the previous year audit action plan until the audit action plan for the new year is developed |  |  |  | 3   |  | The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2020) |  |
| Performance Information: Financial Management Capability Maturity Module (FMCM)  |  | Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report       |  | Modules and ratios that the municipality will be addressing  |  | Total number of items on the FMCM and ratio Action plan |  | Number of items completed on the FMCM and ratio Action plan   |  |
| Did the municipality develop an action plan to address the shortcomings identified in the FMCM and ratio assessment report   |  | Yes  |  | The municipality assessed all the focus areas and compiled action plans for all the focus areas with "partial" of "no" answers in place. |  | 21  |  | 18  |  |
| The FMCM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereof  |  | Yes  |  |  |  | 3   |  | The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2020) |  |
| Performance Information: Internal Audit Units (IA) and Audit Committees (AC)   |  |  |  |  |  |   |  |   |  |
| Internal Audit Unit Established  |  | Yes/No   |  | Outsourced Co-Sourced Inhouse  |  | No of Resolutions and recommendations                   |  | Number Implemented  |  |
| Audit Committee Established  |  | Yes  |  | Inhouse  |  | 384   |  | 318   |  |
| Resolutions and recommendations of IA  |  |  |  |  |  | 9   |  | 9   |  |
| Resolutions and recommendations of AC  |  |  |  |  |  |   |  |   |  |
| Performance Information: Disciplinary boards   |  | Established Yes/No   |  | Functional Yes/No  |  | How many times did they meet this month                 |  | What were the resolutions taken (Send copies of the resolutions)  |  |
| Is the disciplinary board established and functional   |  | No   |  | No   |  | N/A   |  | There are still 0 questions you have not answered in this section!  |  |
| Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegatee  |  |  |  |  |  |   |  |   |  |
| Name of the Chief Financial Officer - R. Ontona  |  | Signature -  |  | Date - 9 July 2021   |  |   |  |   |  |
| Name of the Accounting Officer - D. McThomas   |  | Signature -  |  | Date - 9 July 2021   |  |   |  |   |  |