



NATIONAL TREASURY (NT)																																																																																																			
MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DoRA)																																																																																																			
<p>Note: Must be filed to: 017-315-8229/080-809-5417 &amp; emailed to: fm@treasury.gov.za. The municipality is required to confirm receipt by calling 017-315-5011/5338.</p> <p>Note: Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.</p>																																																																																																			
<p>Name of Municipality: <b>WC025 Breede Valley</b></p> <p>Financial Year: <b>2021/22</b></p> <p>Month: <b>001 July</b></p>																																																																																																			
<b>Section A: Previous Financial Year</b>																																																																																																			
Financial Management Grant Received and Expenditure Incurred: <b>2020/21</b> Rand Comment																																																																																																			
Total FMG received																																																																																																			
Total FMG Expenditure																																																																																																			
FMG Unspent: <b>0.00</b> Note: If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.																																																																																																			
FMG unspent and returned to the National Revenue Fund																																																																																																			
FMG unspent as at end of financial year: <b>0.00</b> Note: This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share																																																																																																			
Total FMG unspent as at end of financial year: <b>0.00</b> Note: This should be funds that are approved by NT as rollover																																																																																																			
<b>Section B: Current Financial Year</b>																																																																																																			
Financial Management Grant Received and Expenditure Incurred: <b>2021/22</b> Rand Comment																																																																																																			
Total FMG received for current financial year																																																																																																			
Total unspent FMG approved for rollover (Refer to Section A: A15)																																																																																																			
Total FMG received: <b>0.00</b>																																																																																																			
Total spent year-to-date (See last month's return - Section B: A31)																																																																																																			
Please note for July's return, this amount would be 0.																																																																																																			
<table border="1"> <thead> <tr> <th>Total spending this month</th> <th>Aggregate spending from previous months</th> <th>Total spending to date</th> <th>Allocation as per support plan</th> <th>Allocation Unspent</th> <th>Comment</th> </tr> </thead> <tbody> <tr> <td>33 333.36</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>- Interest/Salaries and Training</td> <td>33 333.36</td> <td>33333.36</td> <td>50000.00</td> <td>466 666.64</td> <td></td> </tr> <tr> <td>- Training in support of Minimum Competency Regulations</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee</td> <td></td> <td>0.00</td> <td>175000.00</td> <td>175 000.00</td> <td></td> </tr> <tr> <td>- Acquisition, Upgrading and Maintenance of Financial Systems and Moscos</td> <td></td> <td>0.00</td> <td>575000.00</td> <td>575 000.00</td> <td></td> </tr> <tr> <td>- Preparation and timely submission of Annual Financial Statements for audits</td> <td></td> <td>0.00</td> <td>300000.00</td> <td>300 000.00</td> <td></td> </tr> <tr> <td>- Support implementation of corrective actions to address audit findings</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>- Support the training of Municipal officials that are members of the BID committee</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>- Address shortcomings identified in the FMCCM Assessment report</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>- Support the implementation of the financial misconduct regulation and promote consequence management</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>- To strengthen financial governance and oversight as well as functioning of MPAC</td> <td></td> <td>0.00</td> <td></td> <td>0.00</td> <td></td> </tr> <tr> <td>Total FMG spent</td> <td>33 333.36</td> <td>33333.36</td> <td>1550000.00</td> <td>1518866.64</td> <td></td> </tr> <tr> <td>Percentage spent</td> <td>#DIV/0!</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Total FMG unspent for current financial year</td> <td>-33 333.36</td> <td></td> <td></td> <td></td> <td>Note - ADMM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund</td> </tr> </tbody> </table>										Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment	33 333.36						- Interest/Salaries and Training	33 333.36	33333.36	50000.00	466 666.64		- Training in support of Minimum Competency Regulations		0.00		0.00		- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee		0.00	175000.00	175 000.00		- Acquisition, Upgrading and Maintenance of Financial Systems and Moscos		0.00	575000.00	575 000.00		- Preparation and timely submission of Annual Financial Statements for audits		0.00	300000.00	300 000.00		- Support implementation of corrective actions to address audit findings		0.00		0.00		- Support the training of Municipal officials that are members of the BID committee		0.00		0.00		- Address shortcomings identified in the FMCCM Assessment report		0.00		0.00		- Support the implementation of the financial misconduct regulation and promote consequence management		0.00		0.00		- To strengthen financial governance and oversight as well as functioning of MPAC		0.00		0.00		Total FMG spent	33 333.36	33333.36	1550000.00	1518866.64		Percentage spent	#DIV/0!					Total FMG unspent for current financial year	-33 333.36				Note - ADMM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund
Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment																																																																																														
33 333.36																																																																																																			
- Interest/Salaries and Training	33 333.36	33333.36	50000.00	466 666.64																																																																																															
- Training in support of Minimum Competency Regulations		0.00		0.00																																																																																															
- Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee		0.00	175000.00	175 000.00																																																																																															
- Acquisition, Upgrading and Maintenance of Financial Systems and Moscos		0.00	575000.00	575 000.00																																																																																															
- Preparation and timely submission of Annual Financial Statements for audits		0.00	300000.00	300 000.00																																																																																															
- Support implementation of corrective actions to address audit findings		0.00		0.00																																																																																															
- Support the training of Municipal officials that are members of the BID committee		0.00		0.00																																																																																															
- Address shortcomings identified in the FMCCM Assessment report		0.00		0.00																																																																																															
- Support the implementation of the financial misconduct regulation and promote consequence management		0.00		0.00																																																																																															
- To strengthen financial governance and oversight as well as functioning of MPAC		0.00		0.00																																																																																															
Total FMG spent	33 333.36	33333.36	1550000.00	1518866.64																																																																																															
Percentage spent	#DIV/0!																																																																																																		
Total FMG unspent for current financial year	-33 333.36				Note - ADMM must return any unspent FMG allocations, not approved for rollover, to the National Revenue Fund																																																																																														
<b>Section C: (Current Financial Year)</b>																																																																																																			
The municipality is required to compile and submit the FMG Support Plan to the National Treasury in April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.																																																																																																			
<table border="1"> <thead> <tr> <th>Performance Information: Institutional</th> <th>Yes/No</th> <th>Number</th> <th>CFO Acting</th> <th>Yes/ No</th> <th>Name of CFO</th> <th>MM Acting (Yes/No)</th> <th>Name of MM</th> </tr> </thead> <tbody> <tr> <td>Appointment of appropriately skilled CFO consistent with the competency regulations</td> <td>Yes</td> <td></td> <td>No</td> <td></td> <td>R. Ontong</td> <td>No</td> <td>D. Methomas</td> </tr> <tr> <td>Appointment of appropriately skilled Senior Financial Managers in the BTO</td> <td>Yes</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Appointment of appropriately skilled Internal Audit personnel</td> <td>Yes</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Appointment of appropriately skilled SCM personnel</td> <td>Yes</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Number of interns appointed</td> <td></td> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>										Performance Information: Institutional	Yes/No	Number	CFO Acting	Yes/ No	Name of CFO	MM Acting (Yes/No)	Name of MM	Appointment of appropriately skilled CFO consistent with the competency regulations	Yes		No		R. Ontong	No	D. Methomas	Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes							Appointment of appropriately skilled Internal Audit personnel	Yes							Appointment of appropriately skilled SCM personnel	Yes							Number of interns appointed		4																																															
Performance Information: Institutional	Yes/No	Number	CFO Acting	Yes/ No	Name of CFO	MM Acting (Yes/No)	Name of MM																																																																																												
Appointment of appropriately skilled CFO consistent with the competency regulations	Yes		No		R. Ontong	No	D. Methomas																																																																																												
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes																																																																																																		
Appointment of appropriately skilled Internal Audit personnel	Yes																																																																																																		
Appointment of appropriately skilled SCM personnel	Yes																																																																																																		
Number of interns appointed		4																																																																																																	
<b>Section D: (Current Financial Year)</b>																																																																																																			
<table border="1"> <thead> <tr> <th>Performance Information: Audit Outcomes</th> <th>Audit Outcome</th> <th>Audit Outcome</th> <th>Audit Action Plan in place (Yes/ No)</th> <th>Audit Action Plan Implemented (Yes/No)</th> <th>Total number of items on Audit Action</th> <th>Number of items completed on the Audit Action Plan</th> <th>Number of items outstanding on the audit action plan</th> <th>Planned completion date</th> <th>Comments</th> </tr> </thead> <tbody> <tr> <td>Audit Outcome achieved</td> <td>2019/20</td> <td>2020/21</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>Unqualified with findings</td> <td>Unqualified with no findings</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>Please report on the previous year audit action plan until the audit action plan for the new year is developed</td> </tr> <tr> <td>Audit Action Plan</td> <td></td> <td></td> <td>Yes</td> <td>Yes</td> <td>21</td> <td>19</td> <td>2</td> <td></td> <td>The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).</td> </tr> </tbody> </table>										Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/ No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	Comments	Audit Outcome achieved	2019/20	2020/21									Unqualified with findings	Unqualified with no findings							Please report on the previous year audit action plan until the audit action plan for the new year is developed	Audit Action Plan			Yes	Yes	21	19	2		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).																																																		
Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/ No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	Comments																																																																																										
Audit Outcome achieved	2019/20	2020/21																																																																																																	
	Unqualified with findings	Unqualified with no findings							Please report on the previous year audit action plan until the audit action plan for the new year is developed																																																																																										
Audit Action Plan			Yes	Yes	21	19	2		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).																																																																																										
<table border="1"> <thead> <tr> <th>Performance Information: Financial Management Capability Maturity Module (FMCCM)</th> <th>Development of an action plan to address the shortcomings identified in FMCCM and ratio assessment report</th> <th>Modules and ratios that the municipality will be addressing</th> <th>Total number of items on the FMCCM and ratio Action plan</th> <th>Number of items completed on the FMCCM and ratio Action Plan</th> <th>Number of items outstanding on the FMCCM and ratio action plan</th> <th>Planned completion date</th> <th>Comments</th> </tr> </thead> <tbody> <tr> <td>Did the municipality develop an action plan to address the shortcomings identified in the FMCCM and ratio assessment report</td> <td>No</td> <td>The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)</td> <td>21</td> <td>19</td> <td>2</td> <td></td> <td>The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).</td> </tr> </tbody> </table>										Performance Information: Financial Management Capability Maturity Module (FMCCM)	Development of an action plan to address the shortcomings identified in FMCCM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of items on the FMCCM and ratio Action plan	Number of items completed on the FMCCM and ratio Action Plan	Number of items outstanding on the FMCCM and ratio action plan	Planned completion date	Comments	Did the municipality develop an action plan to address the shortcomings identified in the FMCCM and ratio assessment report	No	The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)	21	19	2		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).																																																																										
Performance Information: Financial Management Capability Maturity Module (FMCCM)	Development of an action plan to address the shortcomings identified in FMCCM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of items on the FMCCM and ratio Action plan	Number of items completed on the FMCCM and ratio Action Plan	Number of items outstanding on the FMCCM and ratio action plan	Planned completion date	Comments																																																																																												
Did the municipality develop an action plan to address the shortcomings identified in the FMCCM and ratio assessment report	No	The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022)	21	19	2		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).																																																																																												
<table border="1"> <thead> <tr> <th>Performance Information: Internal Audit Units (IA) and Audit Committees (AC)</th> <th>Yes/No</th> <th>Outsourced/ Co-Sourced/ Inhouse</th> <th>No of Resolutions and recommendations</th> <th>Number Implemented</th> <th>Number Outstanding</th> </tr> </thead> <tbody> <tr> <td>Internal Audit Unit Established</td> <td>Yes</td> <td>Inhouse</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Audit Committee Established</td> <td>Yes</td> <td>Inhouse</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Resolutions and recommendations of IA</td> <td></td> <td></td> <td>340</td> <td>279</td> <td>61</td> </tr> <tr> <td>Resolutions and recommendations of AC</td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>										Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced/ Co-Sourced/ Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding	Internal Audit Unit Established	Yes	Inhouse				Audit Committee Established	Yes	Inhouse				Resolutions and recommendations of IA			340	279	61	Resolutions and recommendations of AC			0	0	0																																																												
Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced/ Co-Sourced/ Inhouse	No of Resolutions and recommendations	Number Implemented	Number Outstanding																																																																																														
Internal Audit Unit Established	Yes	Inhouse																																																																																																	
Audit Committee Established	Yes	Inhouse																																																																																																	
Resolutions and recommendations of IA			340	279	61																																																																																														
Resolutions and recommendations of AC			0	0	0																																																																																														
<table border="1"> <thead> <tr> <th>Performance Information: Disciplinary boards</th> <th>Established Yes/No</th> <th>Functional Yes/No</th> <th>How many times did they meet this month</th> <th>What were the resolutions taken (Send copies of the resolutions)</th> </tr> </thead> <tbody> <tr> <td>Is the disciplinary board established and functional</td> <td>No</td> <td>No</td> <td>N/A</td> <td></td> </tr> </tbody> </table>										Performance Information: Disciplinary boards	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)	Is the disciplinary board established and functional	No	No	N/A																																																																																	
Performance Information: Disciplinary boards	Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)																																																																																															
Is the disciplinary board established and functional	No	No	N/A																																																																																																
<p><b>Confirmation &amp; Authorization from the Accounting Officer &amp; Chief Financial Officer or Delegate</b></p> <p>Name of the Chief Financial Officer - R. Ontong  Signature:   Date - 12 August 2021</p> <p>Name of the Accounting Officer - D. Methomas  Signature:   Date - 12 August 2021</p>																																																																																																			