

**NATIONAL TREASURY INT**  
**MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)**

Note: Must be filed by 212-315-5700/388-580-5417 & emailed to [treas@treasury.ny.gov](mailto:treas@treasury.ny.gov). The municipality is required to confirm receipt by calling 312-315-5301/5000.  
 Note: Funds submitted in this form should be consistent. Other items are submitted and reviewed for comments. The municipality is required to provide comments and supporting documentation where necessary.

Name of Municipality: **W0093 Oneida Valley**  
 Fiscal Year: **2021/22**  
 Month: **08/01 October**

**Section A: Previous Financial Year**

Financial Management Grant Received and Expenditures Incurred	000001	Grant		Comment
Total FMG received				
Total FMG expenditures				
FMG amount returned to the National Revenue Fund	0.00			Note: If funds committed, follow process for rollover of funds. If less note that this should not be a negative amount.
Total FMG amount as at end of financial year	0.00			Note: This should be funds that are approved by NT as rollover.

**Section B: Current Financial Year**

Financial Management Grant Received and Expenditures Incurred	2021/22	Grant		Comment
Total FMG received for current financial year	1,560,000.00			
Total unspent FMG (rollover from previous year to Section A: A15)	0.00			
Total FMG received	1,560,000.00			
Total current year dollars (last month return - Section B: A31)	1,560,000.00			Please note for July's return, this amount would be 0.
Total spending this month	33,333.36	Aggregate spending from previous months	1,000,000.00	Total spending to date
- Internal Disposal/Debt and Transfer	33,333.36	1,000,000.00	1,033,333.36	Allocation as per support plan
- To the support of various Committees/Regulations			0.00	Allocation Unspent
- To the support of various operations in support of various (NYO) Internal Audit and other activities			0.00	302,500.00
- Acquisition, Operation and Maintenance of Financial Systems and Manual			0.00	179,000.00
- Preparation and review/endorsement of Annual Financial Statements the audit			0.00	875,000.00
- Support implementation of various audits to address audit findings			0.00	302,500.00
- Support the various of Municipal Officers that are members of the BIC committees			0.00	0.00
- Address shortfalls identified in the FICMAM Assessment report			0.00	0.00
- Support the implementation of the financial management regulations and promote compliance management			0.00	0.00
- To strengthen financial governance and oversight as well as functions of IFAC			0.00	0.00
Total this year	137,332.41	1,000,000.00	1,137,332.41	14,899,000.00
Percentages in kind	8.80			
Total FMG unspent for current financial year	1,426,667.64			Note - AOMM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund

**Section C: Current Financial Year**

The municipality is required to complete and submit the FMG Support Plan to the National Treasury in April prior to the commencement of the new financial year and any amendments thereafter within 30 days.

Performance Information: Institutional	Yes/No	Number	Year/No	Name of CEO	MM Acct'd (Yes/No)	Name of MM
Appointment of appropriate United CFO consistent with the appointment resolution	Yes			R. Orlino	Yes	D. Methomas
Appointment of appropriate skilled Senior Financial Managers in the BTC	Yes					
Appointment of appropriate skilled Internal Audit personnel	Yes					
Appointment of appropriate skilled SCM personnel	Yes					
Number of items approved						

**Section D: Current Financial Year**

Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of items on Audit Action	Number of items completed on the Audit Action Plan	Number of items outstanding on the audit action plan	Planned completion date	Comment
Audit Outcome achieved	2019/20	2020/21							There are still 2 questions you have not answered in this section!
Audit Outcome achieved	Unqualified with no findings	Outcome still pending							Please report on the previous year audit action plan until the audit action plan for the new year is developed.
Audit Action Plan			Yes	Yes	21	18	3		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).

**Performance Information: Financial Management Capability Maturity Module (FICMAM)**

Did the municipality develop an action plan to address the shortcomings identified in the FICMAM and ratio assessment report?	Yes/No	Outcomes and issues that the municipality will be addressing	Total number of items on the FICMAM and ratio Action plan	Number of items completed on the FICMAM and ratio Action Plan	Number of items outstanding on the FICMAM and ratio action plan	Planned completion date	Comment
The FICMAM action plan must be submitted to NT in September and a progress report on implementation of the plan on a quarterly basis thereafter.	No	Modules and issues that the municipality will be addressing. The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).	21	18	3		The completion dates vary per focus area and question. Most of the findings will be addressed before the end of the financial year (30 June 2022).

**Performance Information: Internal Audit Units (IA) and Audit Committees (AC)**

Internal Audit Unit Established	Yes/No	Outsourced Co-Sourced In-house	No of Resolutions and recommendations	Number Implemented	Number Outstanding	Comment
Internal Audit Unit Established	Yes	In-house				There are still 2 questions you have not answered in this section!
Audit Committee Established	Yes	In-house				There are still 2 questions you have not answered in this section!
Resolutions and recommendations of IA			342	279	63	There are still 2 questions you have not answered in this section!
Resolutions and recommendations of AC			0	0	0	There are still 2 questions you have not answered in this section!

**Performance Information: Disputes/boards**

Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)	Comment
Yes	Yes	N/A		There are still 2 questions you have not answered in this section!

**Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegate**

Name of the Chief Financial Officer - R. Orlino  
 Signature:   
 Date - 8 November 2021

Name of the Accounting Officer - D. Methomas  
 Signature: \_\_\_\_\_  
 Date - 8 November 2021