

NATIONAL TREASURY (NT)
MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)

Note - Must be faxed to - 012 - 315 5295 099 950 9417 & emailed to fmg@treasury.gov.on.ca. The municipality is required to confirm receipt by calling 012 315 5291/5508
Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide comments and supporting documentation where necessary.

Name of Municipality: **Windsor-Essex Valley**
 Financial Year: **2018/19**
 Month: **MOE December**

Section A: Previous Financial Year

Financial Management Grant Received and Expenditure Incurred	2018/19	Rund	Comment
Total FMG received			
Total FMG Expenditure			
FMG unspent	0.00		<i>Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.</i>
FMG unspent and returned to the National Revenue Fund			<i>Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share</i>
Total FMG unspent as at end of financial year	0.00		<i>Note - This should be funds that are approved by NT as rollover</i>

Section B: Current Financial Year

Financial Management Grant Received and Expenditure Incurred	2019/20	Rund	Comment
Total FMG received for current financial year	1 500 000.00		
Total unspent FMG approved for rollover (Refer to Section A: A15)	0.00		
Total FMG received	1 500 000.00		
Total spent year-to-date (See last months return - Section B: A51)	1 007 144.73		<i>Please note for July's return, this amount would be 0.</i>

Total spending this month	Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent	Comment
41 968.85	8 7133.31	97 700.00	50000.00	47 700.00	
- Inform Services and Training					
- Training to support of Minimum Competency Regulations	0.00	11.42	10000.00	99 988.58	
- Oversee strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committees	0.00	200000.00	200000.00	0.00	
- Acquisition, Upgrading and Maintenance of Financial Systems and Mecca	0.00	600000.00	600000.00	0.00	
- Preparation and timely submission of Annual Financial Statements for audits	0.00	250000.00	250000.00	0.00	
- Support implementation of corrective actions to address audit findings		0.00		0.00	
- Preparation and implementation of Financial Recovery Plans		0.00		0.00	
- Address shortcomings identified in the FMCM Assessment report		0.00		0.00	
- Support the implementation of the financial misprocured regulation and promote continuous improvement		0.00		0.00	
- To strengthen financial governance and oversight as well as functioning of MPAC		0.00		0.00	
Total FMG spent	1 048 811.38	1 007 144.73	1048811.38	150000.00	601188.62
Percentage spent	67.67				
Total FMG unspent for current financial year	501 188.62				<i>Note - AORMM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund</i>

Section C: (Current Financial Year)

The municipality is required to compile and submit the FMG Support Plan to the National Treasury by 7th April, prior to the commencement of the new financial year and any amendments thereto, within 30 days

Performance Information: Institutional	Yes/No	Number	CFD Acting	Yes/No	Name of CFO	MM Acting (Yes/No)	Name of MM
Appointment of appropriately skilled CFO consistent with the competency regulations	Yes		No		H. Ontario	No	D. McThomas
Appointment of appropriately skilled Senior Financial Managers in the BTO	Yes						
Appointment of appropriately skilled Internal Audit personnel	Yes						
Appointment of appropriately skilled SCM personnel	Yes						
Number of interns appointed		4					

Section D: (Current Financial Year)

Performance Information: Audit Outcomes	Audit Outcome	Audit Outcome	Audit Action Plan in place (Yes/No)	Audit Action Plan Implemented (Yes/No)	Total number of Items on Audit Action	Number of Items completed on the Audit Action Plan	Number of Items outstanding on the audit action plan	Planned completion date	
Audit Outcome achieved	2017/18	2018/19							
	Unqualified with no findings	Unqualified with no findings							<i>There are still 0 questions you have not answered in this section!</i>
Audit Action Plan			Yes	Yes	21	0	21		<i>The completion dates vary per focus area and question. Most of</i>

Performance Information: Financial Management Capability Maturity Module (FMCM)

Did the municipality develop an action plan to address the shortcomings identified in FMCM and ratio assessment report	Development of an action plan to address the shortcomings identified in FMCM and ratio assessment report	Modules and ratios that the municipality will be addressing	Total number of Items on the FMCM and ratio Action Plan	Number of Items completed on the FMCM and ratio Action Plan	Number of Items outstanding on the FMCM and ratio action plan	Planned completion date	
The FMCM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereof	Yes	The municipality assessed all the focus areas and compiled action plans for all the focus areas with "partial" or "no" answers in place.	21	0	21		<i>The completion dates vary per focus area and question. Most of the findings will be addressed</i>


Performance Information: Internal Audit Units (IA) and Audit Committees (AC)


Internal Audit Unit Established	Yes/No	Outsourced Co-Sourced In-house	No of Resolutions and recommendations	Number Implemented	Number Outstanding	
Audit Committee Established	Yes	In-house				<i>There are still 0 questions you have not answered in this section!</i>
Resolutions and recommendations of IA	Yes	In-house	295	234	61	<i>There are still 0 questions you have not answered in this section!</i>
Resolutions and recommendations of AC			0	0	0	<i>There are still 0 questions you have not answered in this section!</i>

Performance Information: Disciplinary boards

Established Yes/No	Functional Yes/No	How many times did they meet this month	What were the resolutions taken (Send copies of the resolutions)	
No	No	nil		<i>There are still 0 questions you have not answered in this section!</i>

Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegate

Name of the Chief Financial Officer - R. Ontario  Signature -  Date - 9 January 2020

Name of the Accounting Officer - McThomas  Signature - _____ Date - 9 January 2020